4.	. Have any persons become Yes No XX	partners, officers, directors	or similar officials during	this 6 month reporting	period?
	If yes, furnish the following	ng information:			
	Name	Residence Address	Citizensbip	Position A	Date Ssumed
5.	Has any person named in principal? Yes   If yes, identify each such participates.	Item 4 rendered services No [XX] person and describe his ser		f the interests of any	foreign
6.	Have any employees or indiminated their employment of Yes No XX  If yes, furnish the following	x connection with the regis	, who have filed a short for trant during this 6 month :	orm registration statem reporting period?	ent, ter-
	Name	Position or con	mection	Date ter	minated
			÷		
7.	During this 6 month reporting the registrant who rendered principal in other than a cl. Yes No XX	services to the registrant	directly in furtherance o	f the interests of any	city by foreign
	If yes, furnish the following	g information:  Residence		<b>D</b>	
-	Name	Address	Position or connection	Date con beg	
			,		

## II - FOREIGN PRINCIPAL

If yes, furnish the following information:	*See "Addendum" Attached hereto
Name of foreign principal Electronic Industries Assn. of Jap 2-2, Marunouchi, 3-chome Chiyoda-ku Tokyo, Japan	pan Date of Termination
9. Have you acquired any new foreign principal duri	ing this 6 month reporting period? Yes X No
If yes, furnish following information:	
Name and address of foreign principal	* See "Addendum" Attached hereto Date acquired
Electronic Industries Assn. of Ja 2-2, Marunouchi, 3-chome Chiyoda-ku Tokyo, Japan	•
oupan frade center, 333 Fifth Ave	nnecticut Ave. N. W. Washington D. C.
III - AC	
	TIVITIES
11. During this 6 month reporting period, have you eng foreign principal named in Items 8, 9 and 10 of this If yes, identify each such foreign principal and despite the su	TIVITIES  gaged in any activities for or rendered any services to any statement? Yes XX No
11. During this 6 month reporting period, have you eng foreign principal named in Items 8, 9 and 10 of this If yes, identify each such foreign principal and desapan Light Machinery Information Center Trade Center: Legal counseling	TIVITIES  gaged in any activities for or rendered any services to any statement? Yes XX No  scribe in full detail your activities and services; ter; Legal Counseling Services
11. During this 6 month reporting period, have you eng foreign principal named in Items 8, 9 and 10 of this If yes, identify each such foreign principal and degapan Light Machinery Information Centagan Trade Center: Legal counseling onsultation.  pecial Counsel to the Embassy of Japounselling services with respect to ounselling services include legal and	TIVITIES  gaged in any activities for or rendered any services to any statement? Yes XX No  scribe in full detail your activities and services: ater; Legal Counseling Services services and economic and marketing and, rendering legal and informational matters relating to U.SJapan.
11. During this 6 month reporting period, have you eng foreign principal named in Items 8, 9 and 10 of this If yes, identify each such foreign principal and desapan Light Machinery Information Central apan Trade Center: Legal counseling onsultation.  pecial Counsel to the Embassy of Japounselling services with respect to ounselling services include legal and dministration actions affecting trade pecial Counsel to the U.SJapan Trade Counselling with respect to matters proportion of provide basic data and information	gaged in any activities for or rendered any services to any statement? Yes XX No Scribe in full detail your activities and services: ater; Legal Counseling Services services and economic and marketing and, rendering legal and informational matters relating to U.SJapan. Talysis of legislative activity and alysis alysis and alysis alysis and alysis alysis and alysis alysis alysis alysis alysis alignment all alysis alignment all alysis alignment al
11. During this 6 month reporting period, have you eng foreign principal named in Items 8, 9 and 10 of this If yes, identify each such foreign principal and destapan Light Machinery Information Central England Trade Center: Legal counseling consultation.  Special Counsel to the Embassy of Japan Secunselling services with respect to counselling services include legal and administration actions affecting trades of the U.SJapan Trades of the U	gaged in any activities for or rendered any services to any statement? Yes XX No Scribe in full detail your activities and services: ater; Legal Counseling Services services and economic and marketing and, rendering legal and informational matters relating to U.SJapan. Talysis of legislative activity and alysis alysis and alysis alysis and alysis alysis and alysis alysis alysis alysis alysis alignment all alysis alignment all alysis alignment al

The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

among achiev	otner e this	purpose	the relation of the re	ons, interest egistrant arr	s and pol anged, sp	icies sought ( onsored or de	to be influer livered spec	ch political actinued and the meeches, lectures	ans employ
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	- -						٠		
					- · ·				
	tion to	ehe aha		ihadaasisis		1.			
enefit	s any	or all of	your fore	eign principa	ls?	have you eng	saged in ac	tivity on your o	wn behalf w
res [	7	No 🛚	X				-		•

The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

### IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES
---------------------------

	ng this 6 month reporting period,				
and	10 of this statement, or from any	other source, for or	in the interests	of any such fore	ign principal,
any	contributions, income or money o	ither as compensation	on or otherwise?		

Yes XX No 🗌

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>3</sup>

<b>Date</b> 5/70 <b>-</b> 10/70	From Wbom U.S. Japan Trade Council	<b>Purpose</b> l Legal & Informational	Amount
5/70 <b>-1</b> 0/70	Embassy of Japan	fee Legal & Informational	\$3,150.00
5/70 <b>-</b> 10/70	Japan Trade Center	fee Legal & Informational	\$8,100.00
10/9/70-10/12	/70 Electronic Industries	fee	\$6,000.00
	Assn. of Japan	* Statement to Senate Finance Committee	
10/12-10/13/7	0 11 11 11 11 1	* * Press Release	
10/13-10/14/7	0 11 11 11 11 1	* * * Press Release	

<sup>\*</sup> Fee and expenses will exceed \$1,500.00

\*\* Agreement had been reached to proceed with preparation and circulation of press releases with fee to be negotiated later in view of time factor.

Total \$ 17,250.00

## 14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>4</sup> other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes No 🔀

If yes, furnish the following information:

Name of foreign principal

Date Received Description of thing of value

Purpose

value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

4 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

<sup>3</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

## 15. (a) DISBURSEMENTS - MONIES

(1) disbu	is 6 month reporting period, have y rsed or expended monies in conne ms 8, 9 and 10 of this statement?	ction with activity on behalf of any for	eign prin	cipal nar	ned
	nitted monies to any such foreign p				
If yes, set monies, it	t forth below in the required detail and cluding monies transmitted, if any	and separately for each foreign principa , to each foreign principal.	al an acco	ount of s	uch
Date	To Wbom	Purpose		Amo	unt
Embassy of Ja	apan				
various	Xerox Corporation	rental and supplies	\$ 2	50.00	(est.)
various	Western Girl	serretarial & clerical	\$	60.00	(est.)
various	Stotts, Inc.	office supplies	\$	45.00	(est.)
various	various	Transportation	\$	40.00	(est.)
various	Lawrence R. Walders	Legal services	\$ 9	00.00	(est.)
Japan Trade (	Center				
various	Laure E. Obolensky	secretarial	\$ 3	00.00	(est.)
various	various	office supplies	\$	55.00	(est.)
U.S. Japan Ti	rade Council				
various	Laure E. Obolensky	sec <b>retarial</b>	\$	60.00	(est.)

## Electronic Industries Assn. of Japan

Disbursements not yet known

Total \$1,710.00 (est.)

## 15. (b) DISBURSEMENTS - THINGS OF VALUE

furtherance		d, have you disposed of a activities on behalf of a		
Yes 🗍	No XX			
If yes, furn	nish the following informat.	ion:		
Date disposed	Name of person to wbom given	On bebalf of what foreign principal	Description of thing of value	Purpose
During this or through an election to select c	any other person, made any	nave you from your own fun y contributions of money or in connection with any pri ce? Yes No	r other things of value in	connection with
Date	Amount or thing of value	Name of political organization	Name of candidate	
		· · · · · ·		
	V - P	OLITICAL PROPAGANDA	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
communication or ex believes will, or whi or any section of the of a government of a or promote in the Uni motes any racial, soc any other American	pression by any person (1) which he intends to, prevail upon public within the United Stat foreign country or a foreign p ted States racial, religious, ial, political, or religious dis	paganda" as including any or hich is reasonably adapted to n, indoctrinate, convert, indu- es with reference to the polit olitical party or with reference or social dissensions, or (2) corder, civil riot, or other coa- my government or political s	o, or which the person dissence, or in any other way influical or public interests, police to the foreign policies of which advocates, advises, if flict involving the use of for	ainating the same ence a recipient icies, or relations the United States instigates, or pro-
16. During this 6 m propaganda as	nonth reporting period, did defined above? Yes X	you prepare, disseminate o No	or cause to be disseminate	ed any political
IF YES, RESP	OND TO THE REMAINING	ITEMS IN THIS SECTION	ı <b>v.</b>	
17. Identify each s	uch foreign principal.			
	Electronic Indus Tokyo, Japan	tries Assn. of Jap	oan	

<sup>&</sup>lt;sup>5</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

Electronic Industries Assn.  Electronic Industries Assn.  Electronic Industries Assn.  19. During this 6 month reporting period, did ination of political propaganda include to Radio or TV broadcasts Magaziartic Advertising campaigns Press  Other (specify) See Addendum  20. During this 6 month reporting period, did among any of the following groups:  Public Officials  Legislators  Government agencies  Other (specify) See Addendum  21. What language was used in this political X English  22. Did you file with the Registration Section aganda material disseminated or caused Yes No See  23. Did you label each item of such politicate the Act? Yes No Section Sec	of Japan 10/9-10/12 of Japan 10/12-10/13 of Japan 10/13/-10/14  I your activities in preparing, dishe use of any of the following: ine or newspaper Motion pictles releases Pamphlets publicate am to Section V  I you disseminate or cause to be Newspapers Editors Civic groups or associations a to Section V  I propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Amount not as yet agreed upon Amount not as yet agreed upon 4 Amount not as yet agreed upon 5 seeminating or causing the dissemble of the control of the con
Electronic Industries Assn.  Electronic Industries Assn.  Electronic Industries Assn.  19. During this 6 month reporting period, did ination of political propaganda include to Radio or TV broadcasts Magaziartic Advertising campaigns Press  Other (specify) See Addendum  20. During this 6 month reporting period, did among any of the following groups:  Public Officials  Legislators  Government agencies  Other (specify) See Addendum  21. What language was used in this political X English  22. Did you file with the Registration Section aganda material disseminated or caused Yes No See  23. Did you label each item of such political the Act? Yes No Section Section Section Such political propaganda material as re-	of Japan 10/12-10/13  of Japan 10/13/-10/14  I your activities in preparing, dishe use of any of the following: ine or newspaper Motion pictles releases Pamphlets publicate am to Section V  I you disseminate or cause to be  Newspapers Editors  Civic groups or associations a to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Amount not as yet agreed upon 4 Amount not as yet agreed upon 4 Amount not as yet agreed upon 5 Seeminating or causing the dissemble of ture films   Letters or telegrams or other   Lectures or speeches  disseminated political propaganda  Libraries   Educational institutions   Nationality groups
Electronic Industries Assn.  19. During this 6 month reporting period, did ination of political propaganda include to Radio or TV broadcasts Magaziartic Advertising campaigns Press    Other (specify) See Addendum  20. During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   Public Officials   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period, did among any of the following groups:   During this 6 month reporting period	your activities in preparing, dishe use of any of the following: ine or newspaper Motion pictles releases Pamphlets publicate am to Section V  you disseminate or cause to be  Newspapers Editors Civic groups or associations a to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Amount not as yet agreed up  seeminating or causing the dissem-  ture films  Letters or telegrams  or other  Lectures or speeches  disseminated political propaganda  Libraries Educational institutions Nationality groups
19. During this 6 month reporting period, did ination of political propaganda include to Radio or TV broadcasts   Magaziartic Advertising campaigns   Press   Press   Press   Press   Press   Other (specify)   See Addendate   See Addendate   See Addendate   See Addendate   Public Officials   Public	I your activities in preparing, dishe use of any of the following: ine or newspaper Motion pictles releases Pamphlets publicate am to Section V  I you disseminate or cause to be Newspapers Editors Civic groups or associations of the Section V  I propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	ture films Letters or telegrams or other Lectures or speeches disseminated political propaganda Libraries Educational institutions Nationality groups
Radio or TV broadcasts   Magaziantic   Radio or TV broadcasts   Magaziantic   Advertising campaigns   Press   Press   Other (specify)   See Addendum   See A	he use of any of the following: ine or newspaper Motion pic iles releases Pamphlets publicat  um to Section V  you disseminate or cause to be  Newspapers Editors Civic groups or associations to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	ture films  Letters or telegrams  or other  Lectures or speeches  disseminated political propaganda  Libraries  Educational institutions  Nationality groups
Radio or TV broadcasts   Magaziantic   Radio or TV broadcasts   Magaziantic   Advertising campaigns   Press   Press   Other (specify)   See Addendum   See   See   See Addendum   See	he use of any of the following: ine or newspaper Motion pic iles releases Pamphlets publicat  um to Section V  you disseminate or cause to be  Newspapers Editors Civic groups or associations to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	ture films  Letters or telegrams  or other  Lectures or speeches  disseminated political propaganda  Libraries  Educational institutions  Nationality groups
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Advertising campaigns Press  Other (specify) See Addendu  During this 6 month reporting period, did among any of the following groups:  Public Officials  Legislators  Government agencies  Other (specify) See Addendum  That language was used in this political X English  Did you file with the Registration Section aganda material disseminated or caused Yes No See  Did you label each item of such political the Act? Yes No Section	Pamphlets publicate am to Section V  you disseminate or cause to be  Newspapers Editors Civic groups or associations a to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	disseminated political propaganda  Libraries Educational institutions Nationality groups  pies of each item of political prop-
O. During this 6 month reporting period, did among any of the following groups:  Public Officials  Legislators  Government agencies  Other (specify) See Addendum  Legislators  Other (specify) See Addendum  Legislators  Other (specify) See Addendum  Legislators  See Addendum  Legislators  No See Addendum  Legislators  Legislators  See Addendum  Legislators  Legislators  See Addendum  Legislators  Legislators  Legislators  No See Addendum  Legislators	you disseminate or cause to be Newspapers Editors Civic groups or associations a to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Libraries  Educational institutions  Nationality groups  pies of each item of political prop-
among any of the following groups:  Public Officials  Legislators  Government agencies  Other (specify) See Addendum  Legislators  Other (specify) See Addendum  Legislators  Section aganda material disseminated or caused yes  No See  Legislators  Attributed  Legislators  See Addendum  Legislators  Legislators  Section aganda material as reserved  Legislators  Legislato	Newspapers Editors Civic groups or associations a to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Libraries  Educational institutions  Nationality groups  pies of each item of political prop-
Covernment agencies  Government agencies  Other (specify) See Addendum  Lambda Language was used in this political  English  Did you file with the Registration Section aganda material disseminated or caused Yes No See  Did you label each item of such political the Act? Yes No  Did you file with the Registration Section such political propaganda material as re-	Editors  Civic groups or associations  to Section V  propaganda:  Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Educational institutions  Nationality groups  pies of each item of political prop-
Government agencies  Other (specify) See Addendum  I. What language was used in this political  English  Did you file with the Registration Section aganda material disseminated or caused Yes No See  Joid you label each item of such political the Act? Yes No Section No Sectio	Civic groups or associations  n to Section V  propaganda: Other (specify)  on, Department of Justice, two cop to be disseminated during this 6	Nationality groups
Other (specify) See Addendum  1. What language was used in this political  X English  2. Did you file with the Registration Section aganda material disseminated or caused aganda material disseminated or caused Yes No See  3. Did you label each item of such politicate Act? Yes No Section No Section Sec	propaganda: Other (specify) on, Department of Justice, two cop to be disseminated during this 6	pies of each item of political prop-
1. What language was used in this political  X English  2. Did you file with the Registration Section aganda material disseminated or caused Yes No See  3. Did you label each item of such politicate the Act? Yes No Section No Section Sec	propaganda: Other (specify) on, Department of Justice, two cop to be disseminated during this 6	pies of each item of political prop- month reporting period?
<ul> <li>X English</li> <li>Did you file with the Registration Section aganda material disseminated or caused Yes No See</li> <li>Did you label each item of such politicathe Act? Yes No Section</li> <li>Did you file with the Registration Section such political propaganda material as reserved.</li> </ul>	on, Department of Justice, two cop to be disseminated during this 6	pies of each item of political prop- month reporting period?
2. Did you file with the Registration Section aganda material disseminated or caused Yes No See  3. Did you label each item of such politicathe Act? Yes No Section No Section	on, Department of Justice, two cop to be disseminated during this 6	pies of each item of political prop- month reporting period?
aganda material disseminated or caused Yes No See  No See  3. Did you label each item of such politica the Act? Yes No  4. Did you file with the Registration Section such political propaganda material as re-	to be disseminated during this 6	pies of each item of political prop- month reporting period?
A. Did you file with the Registration Section such political propaganda material as re	to be disseminated during this 6	month reporting period?
3. Did you label each item of such politica the Act? Yes No Section Section Section Section Such political propaganda material as re-		
4. Did you file with the Registration Section such political propaganda material as re	Addendum to Section V	
such political propaganda material as re-	I propaganda material with the st See Addendum to Sec	•
	on, Department of Justice, a Dis	semination Report for each item of
ies No See		
	Addendum to Section V	<b>/</b>
	HIBITS AND ATTACHMENTS	
<ol> <li>EXHIBITS A AND B</li> <li>(a) Have you filed for each of the newly</li> </ol>	agained foreign principals in the	0 4- 6-11
	o X	em y the following:
7	• [X]	
If no, please attach the required exh		
-		
(b) Have there been any changes in the I represented during this six month per	Exhibits A and B previously filed riod?	for any foreign principal whom you
	ne filed in preceding	six month period
If yes, have you filed an amendment t	to these exhibits? Yes	No 🔲
If no, please attach the required ame	ndment.	
6 The Exhibit A, which is filed on Form DJ-3 ign principal. 7 The Exhibit B, which is filed on Form DJ-3 etween the registrant and the foreign principal.		

26.	EXHIBIT C
	If you have previously filed an Exhibit C <sup>8</sup> , state whether any changes therein have occurred during this 6 month reporting period.
	Yes No not applicable
	If yes, have you filed an amendment to the Exhibit C? Yes No No
	If no, please attach the required amendment.
27.	SHORT FORM REGISTRATION STATEMENT
	Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement?
	Yes No X
	If no, list names of persons who have not filed the required statement.
tent sign	The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration tement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contes are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the underned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form gistration Statement, if any, insofar as such information is not within his (their) personal knowledge.
	(Type or frint name under each signature)
iste by a perf	(Both copies of this statement shall be signed and sworn before a notary public or other person authorized to administrate out the agent, if the registrant is an individual, or a majority of those partners, officers, directors or persons forming similar functions who are in the United States, if registrant is an organization.)
this	Subscribed and sworn to before me at Hashington, D.C.  20th day of October, 1970  Lances Titaguard (Rigadius) of motors or other office)
	My commission expires <u>Nay 14</u> , 19 72

<sup>8</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Internal Security Division, Department of Justice, Washington. D.C. 20530.

#### ADDENDUM

#### II. FOREIGN PRINCIPAL - Questions 8 and 9

FOREIGN PRINCIPAL	Date Acquired	Date of Termination
Electronic Industries Assn. of Japan 2-2, Marunouchi, 3-chome Chiyoda-ku Tokyo, Japan	Oct. 9	Oct. 12

Purpose: Filing a statement on behalf of above cited foreign principal with the Committee on Finance, U.S. Senate, opposing H.R. 18970.

\* \* \* \*

Electronic Industries Assn. of Japan 2-2, Marunouchi, 3-chome Chiyoda-ku Tokyo, Japan

Oct. 12

Oct. 13

Purpose: To issue a press release challenging the fairness of the Treasury antidumping action involving Television receivers from Japan.

\* \* \* \*

Electronic Industries Assn. of Japan 2-2, Marunouchi, 3-chome Chiyoda-ku

Oct. 13

Oct. 14

Tokyo, Japan

Purpose: To issue a second press release summarizing statements and views of the foreign principal herein involved given before a Treasury Department meeting held on October 14, 1970 in connection with the television antidumping case involving Japan.

\* \* \* \*

Note: Copies of the above cited documents are appropriately attached to Exhibit B

#### ADDENDUM TO SECTION V

I have prepared and disseminated to a limited number of news agencies and radio and television stations located in Washington, D. C., two press statements on behalf of the Electronic Industries Association of Japan by hand-carrying such press releases on October 13 and October 14. Since the United States mail facilities were not used and since the materials were not transported in interstate commerce but hand-carried within the District of Columbia, in our opinion, it is believed that we have fully complied with the filing and labeling requirements of Section 4(a) of the Act.

For your information, we attach herewith copies of the press releases herein involved.



# OFFICE OF THE SECRETARY OF THE TREASURY WASHINGTON, D.C. 20220

OCT 6 1970

Dear Mr. Tanaka:

As you have been notified, the Treasury Department has determined that an opportunity should be afforded for all interested persons to appear at a meeting to be held in Room 4121 of the Treasury Department, commencing at 9:30 a.m. on October 14, 1970, to make oral presentations with respect to the withholding of appraisement notice published in the September 4, 1970, issue of the Federal Register regarding television receiving sets from Japan.

As an unusually large number of persons have requested an opportunity to present oral views, I thought it would be desirable to indicate in advance the ground rules under which the meeting will be conducted.

While meetings of this type are informal, in the interest of orderly procedure it has been our policy to allot each person requesting an opportunity to present oral views, one hour for his presentation. We plan to observe this policy at the TV meeting except that those representing multiple interests will be afforded additional time. You will be allotted two hours. Additional time, as appropriate, will be allowed for any comments in rebuttal.

In keeping with the informality of the meeting, we request that no verbatim transcript of what transpires be made by electronic or other means.

Several persons have requested that the formal "hearing provisions of the Administrative Procedure Act be followed at the meeting. It is the Treasury Department's position that the formal "hearing" requirements of that Act do not apply to meetings of this type. The Department, in its discretion, has decided that the circumstances warrant holding this meeting in furtherance of its conduct of the investigation under the Antidumping Act. No formalities, such as those typical to an adversary proceeding which is required to be conducted in accordance with the procedural standards of the Administrative Procedure Act, will be observed.

The Department has also received requests for an explanation of the rationale upon which the September 4 withholding of appraisement Order was based. It is the Department's view that the withholding of appraisement notice, as published, speaks for itself.

Your request for separate meetings with Departmental representatives with respect to each of the manufacturers whom you represent has been duly noted. We suggest that comments with respect to such confidential information as you may consider appropriate be submitted to the Department in memorandum form. Accordingly, your request is denied.

Sincerely yours,

Mitalitary Wharles

Matthew J. Marks
Deputy to the Assistant Secretary
(Tariff and Trade Affairs)

H. William Tanaka, Esq. Tanaka and Walders 1819 H Street, N. W. Washington, D. C. 20006 LAW OFFICES

TANAKA AND WALDERS

FEDERAL BAR BUILDING WEST

1619 H STREET, N. W.

HAJIME WILLIAM TANAKA

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October 13, 1970

Honorable Matthew J. Marks
Deputy to the Assistant Secretary
of the Treasury (Tariff and Trade Affairs)
Department of Treasury
Washington, D. C. 20220

Re: Antidumping Investigation on Monochrome and Color Television Receivers from Japan

Dear Mr. Marks:

We are in receipt of your letter of October 6, 1970 which sets forth the ground rules for the meeting to be held at the Treasury Department on October 14, 1970 in connection with the withholding of appraisement notice regarding television receiving sets from Japan.

We submit that a meeting held in accordance with these ground rules would be totally inconsistent with elementary principles of fairness and due process of law. This procedure would deprive the respondents of the legal protections afforded by the U.S. Constitution and the Administrative Procedure Act, as stated in the letter to the Commissioner of Customs dated September 18, 1970 on behalf of Matsushita Electric Industrial Co., Ltd. and Matsushita Electric Corporation of America, which was subsequently incorporated by reference in a letter submitted to the Commissioner of Customs on September 24, 1970 on behalf of the other Japanese television manufacturers and their U.S. subsidiaries.

The Constitution and the Administrative Procedure Act fundamentally require that decisions in this proceeding must, at least, be based upon a written record. Yet, it has been requested that "no verbatim transcript of what transpires be made by electronic or other means." Thus, not only will there be no official record of the proceedings, but the parties themselves will not be permitted to make a transcript. This, we submit, is in clear violation of basic requirements of due process of law, highlighting the fundamental unfairness which has characterized this entire investigation.

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In addition, we object to the exclusion of the press from this meeting. On August 28, 1970, the Assistant Secretary of the Treasury for Enforcement and Operations saw fit to hold a press conference in connection with the withholding of appraisement order wherein statements were made which were damaging to the reputation of our clients. Now the Treasury Department proposes to exclude the press from this meeting at which we are to have an opportunity to state our case. Exclusion of the press cannot be justified, since no confidential information will be discussed, nor will any matter of national security interest.

The action of the Treasury Department in calling a press conference to issue the withholding of appraisement order was totally unprecedented and, in itself, served to create the impression that the respondents had already been "convicted" of dumping, even though the withholding of appraisement order is, by regulation, a preliminary action and not a final decision. The entire tone of the press conference, of which a full verbatim transcript was made, and a number of the specific statements made at that time serve to confirm this unwarranted impression. These highly prejudicial statements were widely published in the press and reported over television and radio. The October 14 meeting provides the only formal opportunity for the respondents to present their views orally to the Treasury Department. Elementary considerations of justice and fair play require that the Treasury Department afford us an opportunity to correct some of the mistaken impressions created by the Assistant Secretary's press conference. This proceeding is certainly no more "informal" than the press conference, and there is clearly no less reason for keeping a verbatim transcript.

The Constitution and the Administrative Procedure Act require that each of the respondents be given a full and authoritative statement of the evidentiary basis on which the Secretary of the Treasury has found reason to believe or suspect that dumping has occurred in this case, in order that they may be able to rebut, refute and explain such evidence. The procedures followed in this investigation have deprived the respondents of this basic right.

In addition to the requirements of the Administrative Procedure Act, the procedure adopted violates the Customs Regulations, Part 153, promulgated by the Treasury Department for the protection of persons affected in antidumping proceedings. These Regulations

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in §153.34(a) provide that:

"If the Commissioner determines during the course of his investigations that there are reasonable grounds to believe or suspect that any merchandise is being, or is likely to be, sold at less than its foreign market value (or, in the absence of such value, then its constructed value) under the Antidumping Act, and if there is evidence on record concerning injury or likelihood of injury to or prevention of establishment of an industry of the United States, he shall publish notice of these facts in the FEDERAL REGISTER in a 'Withholding of Appraisement Notice,' indicating --

- "(1) That the belief or suspicion relates only to certain shippers or producers, if this is the case and that the investigation is limited to the transactions of such shippers or producers;
- "(2) The expiration date of the notice (which shall be no more than 3 months from the date of publication of the notice in the FEDERAL REGISTER, unless a longer period of withholding of appraisement has been requested by the importer and the exporter pursuant to paragraph (b) and has been approved by the Commissioner).

"This withholding of appraisement notice, will be issued concurrently with the Secretary's determination pursuant to §153.35, unless appraisement is being withheld pursuant to paragraph (b) of this section."

They also provide in §153.37 in pertinent part that:

"As soon as possible after the publication of the withholding of appraisement notice if any person believes that for any reason the withholding action is in error, he may request that the Secretary of the Treasury afford him an opportunity to present his views in this regard."

The obvious purpose of affording an interested person an opportunity to present his views is to enable such person to point out to the Secretary the reasons why the withholding of appraisement was in error -- that is, to show that there were no "reasonable grounds to believe or suspect" that the merchandise in question is being or is likely to be sold at less than fair value. Under the ground rules described in your letter, the opportunity so afforded is illusory and without meaning for the following reasons.

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None of the calculations on which the apparent margins of dumping are allegedly based have been made available in final form to the respondents. The only information given to the respondents was that provided informally by the case workers on the matter. As the Assistant Secretary of the Treasury for Enforcement and Operations stated in his press conference of August 28, 1970, ". . . Anyone that draws any implication from any conversation he may have had with a case worker, does so at his peril." The instant case vividly illustrates the truth of that statement. In view of this experience and the remarks of the Assistant Secretary, how can one rely in any way on the information recently made available by the new case worker?

It is therefore impossible to understand the Assistant Secretary's response to a question from a member of the press who asked whether the Japanese exporters will know what price they can safely charge. Mr. Rossides responded:

"Yes. Each particular company will know because they know their own home market costs, exfactory price; they know what they are selling it for to a foreign country. They will know immediately."

How can the respondents know? How can the respondents know when the withholding of appraisement notice provides no basis for any respondent to calculate its fair value, and when the Assistant Secretary categorically states that one cannot rely upon information received from the case worker?

Thus the respondents have been left without any real guidance as to the enforcement policies of the Treasury Department on which to base their pricing policies, and have further been asked to present their arguments before the Treasury Department without being informed of the specific basis of the withholding action.

Further, the refusal of the Department to explain with specificity the rationale underlying the withholding of appraisement order effectively precludes us from presenting any meaningful arguments in that regard. The notice as published is vague and stated in the most general of terms and provides no basis whatsoever for respondents to present their views to the Treasury Department.

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Particular exception is taken to the Department's view that "the withholding of appraisement notice, as published, speaks for itself." This statement constitutes a complete denial of our request for explanation of the basis of the withholding of appraisement. If the withholding of appraisement notice "speaks for itself", it conveys no message to the respondents as to the reasons for the withholding of appraisement order. The notice is stated in the most general of terms. Not only does it give no indication of the reasons for the Treasury Department's decision, it does not even inform us of the specific rulings which the Department has tentatively made concerning the various claimed deductions and allowances for each of the respondents. This notice provides no basis whatsoever for the respondents to present their views to the Treasury Department.

It is understandable that the Department would not disclose the specific fair value calculations for each manufacturer in a public notice due to the confidential nature of such information. But we know of no reason why such information could not have been furnished separately in writing to the respondents or their counsel.

Finally, we urge the Department to reconsider its denial of our request for separate meetings with the Departmental representatives for each of the manufacturers. As noted in the letter submitted to the Commissioner of Customs on September 18, 1970 on behalf of the concerned Japanese manufacturers and their U.S. subsidiaries, the nature of the October 14 meeting prevents a detailed presentation of our position on behalf of each of the respondents since such a presentation would, of necessity, involve confidential information. If, as indicated in your letter, such information can only be submitted in memorandum form, we will be deprived of an opportunity for a full and effective hearing on the basic issues involved in this investigation.

Sincerely yours,

HITACHI, LTD.

MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.

SHARP CORPORATION

SONY CORPORATION

TOKYO SHIBAURA ELECTRIC CO., LTD.

HITACHI SALES CORPORATION OF AMERICA SHARP ELECTRONICS CORPORATION SONY CORPORATION OF AMERICA TOSHIBA AMERICA, INC.

H. WILLIAM TANAKA

Attorney